



# CDUnderwriter

## Workflow and Task Management System

CDUnderwriter provides a platform for institutions and underwriters to communicate and collaborate on CD issuance.

Deposit brokers working with institutions to convert deposits to brokered CDs need access to efficient productivity tools to provide the best service to their clients. CDUnderwriter document workflow and task management system from Financial Technology Laboratories (FTLabs), enables underwriters to efficiently collaborate with their internal or external clients to underwrite and issue certificates of deposit through this integrated workflow platform.

This easy-to-use system brings underwriters and their institutional clients a comprehensive and convenient method of converting their deposits into marketable higher-yielding brokered certificates of deposit.

### Features:

- Both issuers and underwriters may generate a variety of customized documents that can be easily viewed, printed, executed, and transmitted to the involved parties.
- The structure calculator and new issue setup screens provide the bank or institutional client with tools to model an issue and generate a term sheet.
- Model a variety of maturities and rates to quickly evaluate how they affect the all-in cost to the issuer before proceeding.
- Support for both callable and non-callable securities structured as fixed-rate, step-rate, or zero coupons are included. Interest frequency can be tailored to monthly, quarterly, or semi-annual.
- Generate a new legal or workflow document easily by selecting a template, filling out a form, and allowing CDUnderwriter to automatically insert all known information.
- Workflows may be modified to include new procedures, documents, and tasks included in the issuance process.
- New entries and updates to sales orders for a new issue are allowable through the sales period; sales progress is then reported back to the issuer.
- CDUnderwriter standard document templates include: Issuer Agreement, DTC Letter of Representation, Certificate of Deposit Terms Agreement, CUSIP Request, DTC Eligibility Questionnaire, Offering Sheet, Master Certificate, Settlement Letter, and Wire Transfer Confirmation Letter. Templates for new documents are easily uploaded.

### Key Benefits:



#### Flexible

CDUnderwriter empowers deposit brokers with a workflow and task management tool that provides the speed and automated underwriting, issuance, and sales processes needed for brokers to efficiently manage multiple deals simultaneously.

Administrative options allow for the configuration of users, firm profile information, issuing institution information, market holidays, underwriting fee schedules, and maintenance of the FDIC database of issuing institutions.



#### Technology

CDUnderwriter is web-based making it easily accessible from any web browser. Your data is hosted and centrally maintained on the Amazon AWS infrastructure for extremely secure, reliable and scalable access.



#### Full Service

At FTLabs, we partner with our clients to provide high-performance workflow automation tools that increase productivity, reliability, and profitability of traders and firms active in the fixed income markets.